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EXAMINER

PARTON, KEVIN S

ART UNIT PAPER NUMBER

2153

DATE MAILED: 03/05/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/482,327

Applicant(s)

DWORK ET AL.

Examiner

Kevin Parton

Art Unit

2153

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1 and 4-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 and 4-21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Specification***

1. Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

2. The abstract of the disclosure is objected to because it exceeds the maximum allowed number of words. Correction is required. See MPEP § 608.01(b).

### ***Response to Arguments***

3. Applicant's arguments filed 12/31/2002 have been fully considered but they are not persuasive. Please see the following reasons and the grounds of rejection below.

4. Applicant argues "No rejection has been applied against claim 20" (page 3, paragraph 2). Please note that in the original rejection, paragraph 27 is the rejection of claim 20. the examiner made a typographical error in paragraph 19 of the action and the new rejection below reflects the correction. Please note that in the original office action, paragraph 19 should have included claims 12-17, 19, and 20. Paragraph 28 should have included claims 18 and 21. These typographical errors have been corrected in the rejection below.

5. Applicant argues "None of the...flow control operations" (page 4, paragraph 3). Please see the previous office action and the rejection below for the specific teachings of the references.

Art Unit: 2153

6. Applicant argues "Fox is relied...or other modification" (page 5, paragraphs 2-6). This argument is not persuasive because the Fox reference is used to show the applicability of descriptors as pointers in buffered systems. The Fox reference teaches the use of descriptors in the determination of buffer unavailable status. In combinations with the other references, the rejection stands and is stated below.

7. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). Please note that the Chong et al. and Fox references are used in combination with the motivation shown below.

8. Applicant argues "As discussed above...respective independent claims" (page 6, paragraphs 1-6). Please see paragraph 4 above for the same reasons for maintaining the original rejection.

***Claim Rejections - 35 USC § 103***

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claim 1 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chong et al. (USPN 6,212,582) in view of Ramakrishnan (USPN 6,167,029).

11. Regarding claim 1, Chong et al. (USPN 6,212,582) teach a system comprising:

a. A local bus (figure 1).

Art Unit: 2153

- b. A host processor coupled to the local bus (column 4, lines 39-40).
- c. A network interface for providing an interface between the local bus and a network medium (figure 1). Note that figure 1 has a receiving and transmitting node at reference number 45. The reference teaches a system for congestion control in a networked device.
- d. A memory coupled to the local bus, the memory having receive buffers allocated for receiving data from the network medium (figure 1, reference number 60a)
- e. The network interface including an automatic flow control mechanism for automatically controlling a flow of data from the network medium based on availability of the receive buffers (column 3, lines 1-8; column 4, lines 26-30). Note that in the reference, the flow control scheme is based on traffic with multiple priority levels. The concept for a single priority level would be the same.
- f. Wherein in a first flow control mode initiated when a flow control signal is at a first logic level, an automatic flow control mechanism is automatic flow control mechanism is configured to respond to a shortage of the receive buffers by automatically requesting a remote transmitter coupled to the network medium to suspend data transmission until a predetermined number of the receive buffers is available (column 4, lines 26-30; column 7, lines 27-30). Note that in the reference for a single priority level, when the capacity of an available buffer is less than a threshold value, a signal is sent to the

transmitting node to stop transmission. When the occupancy of the buffer drops below a second, lower threshold, the flow control is deactivated and transmission resumes.

Although the system disclosed by Chong et al. (USPN 6,212,582) shows substantial features of the claimed invention, it fails to disclose means wherein in a second flow control mode initiated when the flow control mode signal is at a second logic level, the automatic flow control mechanism is configured to respond to a shortage of the receive buffers by automatically requesting the remote transmitter coupled to the network medium to suspend data transmission for a predetermined time.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582), as evidenced by Ramakrishnan (USPN 6,167,029).

In an analogous art, Ramakrishnan (USPN 6,167,029) discloses a system for activation of flow control based on the availability of buffers wherein the automatic flow control mechanism is configured to respond to a shortage of the receive buffers by automatically requesting the remote transmitter coupled to the network medium to suspend data transmission for a predetermined time (column 8, lines 28-33). Note that the PAUSE frame is set for a predetermined period of time, after which flow resumes regardless of the status of the buffers.

Given the teaching of Ramakrishnan (USPN 6,167,029), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of a timer to determine when transmission from the transmitting node can resume. In a system where processing of incoming data takes place at a

Art Unit: 2153

constant rate, it is not necessary to have a buffer notify the transmitting node when it is below a certain threshold. In this situation, the transmitting node can wait a pre-determined time and then resume transmission with a high certainty level of finding the buffer in a level below the threshold. The system benefits by not having to spend processor time measuring the lower threshold of the buffer and then sending a message to the transmitting node. This saves processor time and network congestion. The benefit of having both the lower threshold and the time-based resumption of transmission can be used to differentiate between buffers utilized for different purposes. Those in extremely high traffic and critical applications may need to use the former, the lower priority applications may use the time-based method.

12. Claims 4-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) as applied to claim 3 above, and further in view of Fox (USPN 6,185,438).

13. Regarding claim 4, although the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) (as applied to claim 1) shows substantial features of the claimed invention, it fails to disclose means wherein the network interface comprises a descriptor management unit for managing receive descriptors pointing to the receive buffers.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers comprising means wherein the network interface comprises a descriptor management unit for managing receive descriptors pointing to the receive buffers

Art Unit: 2153

(column 6, lines 33-35, 63-64; column 7, lines 5-6). Note that in the reference, the descriptors are used to determine when no buffers are available and thus no transmitted data can be received.

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) by employing the use of descriptors to monitor the buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer.

14. Regarding claim 5, although the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) (as applied to claim 4) shows substantial features of the claimed invention, it fails to disclose means wherein the automatic flow control mechanism is configured to detect availability of the receive buffers by monitoring the number of available descriptors pointing to the receive buffers available for receiving data from the network medium.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers comprising means wherein the automatic flow control mechanism is configured to detect availability of the receive buffers by monitoring the number of available descriptors pointing to the receive buffers available for receiving data from the network medium (column 6, lines 33-35, 63-64; column 7, lines 5-6).



Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) by employing the use of descriptors to monitor the buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer. It is obvious that when the number of descriptors pointing to available buffers dropped below a certain value, the flow control would be activated. This benefits the system by stopping the transmission of new data that would be lost if sent to unavailable buffers.

15. Regarding claim 6, Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) teach all the limitations as applied to claim 5. Chong et al further teach means wherein in the first flow control mode, the automatic flow control mechanism is configured to automatically request the remote transmitter to suspend data transmission when the available buffer capacity falls below a first threshold value (column 4, lines 26-30).

Although the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) shows substantial features of the claimed invention, it fails to disclose means wherein the request to suspend transmission based on the number of available descriptors.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029), as evidenced by Fox (USPN 6,185,438).

Art Unit: 2153

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers using available descriptors (column 6, lines 33-35, 63-64; column 7, lines 5-6).

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) by employing the use of descriptors to monitor the buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer. It is obvious that when the number of descriptors pointing to available buffers dropped below a certain value, the flow control would be activated. This benefits the system by stopping the transmission of new data that would be lost if sent to unavailable buffers.

16. Regarding claim 7, Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) teach all the limitations as applied to claim 6. Chong et al further teach means wherein in the first flow control mode, the automatic flow control mechanism is configured to enable the remote transmitter to resume data transmission when the available buffer capacity rises above threshold value (column 7, lines 26-30). Note that although in the reference, the function is based on priority, it is applicable for a system with no priority assigned to data streams.

Although the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) shows substantial features of the claimed invention, it fails to disclose means wherein the enable message for transmission is based on the number of available descriptors.

Art Unit: 2153

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers using available descriptors (column 6, lines 33-35, 63-64; column 7, lines 5-6).

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) by employing the use of descriptors to monitor the buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer. It is obvious that when the number of descriptors pointing to available buffers increased above a certain value, the flow control would be deactivated. This benefits the system by resuming transmission of data as quickly as possible while keeping the possibility of lost data to a minimum.

17. Regarding claim 8, Chong et al. (USPN 6,212,582), Ramakrishnan (USPN 6,167,029), and Fox (USPN 6,185,438) teach all the limitations as applied to claim 7. Chong et al. (USPN 6,212,582) further teach means wherein the second threshold value is higher than the first threshold value (column 7, lines 27-30; column 4, lines 26-30). Please note that in the reference, the monitor is assessing the level of filled capacity in the buffer. While the first threshold is higher than the second, fundamentally, they are measuring the same thing. If referred to as availability rather than occupied space in the buffer, the concept would be the same.

Art Unit: 2153

18. Regarding claim 9, Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) teach all the limitations as applied to claim 5. Chong et al further teach means wherein in the second flow control mode, the automatic flow control mechanism is configured to automatically request the remote transmitter to suspend data transmission when the available buffer capacity falls below a first threshold value (column 4, lines 26-30).

Although the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) shows substantial features of the claimed invention, it fails to disclose means wherein the request to suspend transmission based on the number of available descriptors.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers using available descriptors (column 6, lines 33-35, 63-64; column 7, lines 5-6).

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) by employing the use of descriptors to monitor the buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer. It is obvious that when the number of descriptors pointing to available buffers dropped below a certain value,

Art Unit: 2153

the flow control would be activated. This benefits the system by stopping the transmission of new data that would be lost if sent to unavailable buffers.

19. Regarding claim 10, Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) teach all the limitations as applied to claim 9. Ramakrishnan (USPN 6,167,029) further teaches means wherein in the second flow control mode, the automatic flow control mechanism is configured to enable the remote transmitter to resume data transmission after a preprogrammed time interval, if the number of available descriptors is not less than the preprogrammed threshold value (column 8, lines 28-33). Note that the PAUSE frame is set for a pre-determined period of time, after which flow resumes regardless of the status of the buffers.

Given the teaching of Ramakrishnan (USPN 6,167,029), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of a timer to determine when transmission from the transmitting node can resume. In a system where processing of incoming data takes place at a constant rate, it is not necessary to have a buffer notify the transmitting node when it is below a certain threshold. In this situation, the transmitting node can wait a pre-determined time and then resume transmission with a high certainty level of finding the buffer in a level below the threshold. The system benefits by not having to spend processor time measuring the lower threshold of the buffer and then sending a message to the transmitting node. This saves processor time and network congestion. The benefit of having both the lower threshold and the time-based resumption of transmission can be used to differentiate between buffers utilized for different purposes. Those in extremely high traffic and critical applications may need to use the former, the lower priority applications may use the time-based method.

Art Unit: 2153

20. Regarding claim 11, although the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) (as applied to claim 5) shows substantial features of the claimed invention, it fails to disclose means wherein the network interface is configured to store information indicating a read pointer of the host processor that points to a next descriptor that should be processed by the host processor after a current receive buffer is read by the host processor.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers comprising means wherein the network interface is configured to store information indicating a read pointer of the host processor that points to a next descriptor that should be processed by the host processor after a current receive buffer is read by the host processor. (column 6, lines 33-35, 63-64; column 7, lines 5-6). Note that in the reference, the descriptors are used to determine when no buffers are available and thus no transmitted data can be received.

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) and Ramakrishnan (USPN 6,167,029) by employing the use of descriptors to monitor the buffers. The descriptors are read in an order processed by the network interface. This benefits the system by giving it an order with which to evaluate the buffers. In doing this, the probability of having a buffer overflow between monitoring times is decreased.

Art Unit: 2153

21. Claims 12-17, 19, and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chong et al. (USPN 6,212,582) in view of Fox (USPN 6,185,438).

22. Regarding claim 12, Chong et al. (USPN 6,212,582) teach a system comprising:

- a. An automatic flow control mechanism for automatically performing flow control in accordance with the available receive buffer capacity for receiving data from the network medium (column 3, lines 1-8; column 4, lines 26-30)

Although the system disclosed by Chong et al. (USPN 6,212,582) shows substantial features of the claimed invention, it fails to disclose:

- a. A descriptor management unit for managing receive descriptors pointing to receive buffers allocated to receive data from the network medium.
- b. Monitoring the number of available receive descriptors pointing to the receive buffers available for receiving data from the network medium.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers comprising:

- a. A descriptor management unit for managing receive descriptors pointing to receive buffers allocated to receive data from the network medium (column 6, lines 33-35, 63-64; column 7, lines 5-6).

- b. Monitoring the number of available receive descriptors pointing to the receive buffers available for receiving data from the network medium (column 7, lines 5-6).

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of descriptors to monitor the available buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer.

23. Regarding claim 13, Chong et al. (USPN 6,212,582) teach all the limitations as applied to claim 12. They further teach means wherein the receive buffers are arranged in a memory of the computer system (figure 1).

24. Regarding claim 14, Chong et al. (USPN 6,212,582) teach all the limitations as applied to claim 12. They further teach means wherein the automatic flow control mechanism is configured to automatically request a remote station in the data network to suspend data transmission when the available buffer capacity falls below a first threshold value (column 4, lines 26-30; column 7, lines 27-30).

Although the system disclosed by Chong et al. (USPN 6,212,582) shows substantial features of the claimed invention, it fails to disclose means for monitoring the number of available descriptors.



Art Unit: 2153

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers utilizing descriptors for the representation of buffer status.

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of descriptors to monitor the available buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer.

25. Regarding claim 15, Chong et al. (USPN 6,212,582) teach all the limitations as applied to claim 14. They further teach means wherein the automatic flow control mechanism is configured to enable a remote station to resume data transmission when the available buffer capacity rises above a second threshold value (column 4, lines 26-30; column 7, lines 27-30).

Although the system disclosed by Chong et al. (USPN 6,212,582) shows substantial features of the claimed invention, it fails to disclose means for monitoring the number of available descriptors.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers utilizing descriptors for the representation of buffer status.

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of descriptors to monitor the available buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer.

26. Regarding claim 16, Chong et al. (USPN 6,212,582) teach all the limitations as applied to claim 15. They further teach means wherein the second threshold value is higher than the first threshold value (column 7, lines 27-30; column 4, lines 26-30). Please note that in the reference, the monitor is assessing the level of filled capacity in the buffer. While the first threshold is higher than the second, fundamentally, they are measuring the same thing. If referred to as availability rather than occupied space in the buffer, the concept would be the same.

27. Regarding claim 17, Chong et al. (USPN 6,212,582) teach all the limitations as applied to claim 12. They further teach means wherein the automatic flow control mechanism is configured to automatically request a remote station in the data network to suspend data transmission when the available buffer capacity falls below a preprogrammed threshold value (column 4, lines 26-30; column 7, lines 27-30).

Although the system disclosed by Chong et al. (USPN 6,212,582) shows substantial features of the claimed invention, it fails to disclose means for monitoring the number of available descriptors.

Art Unit: 2153

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers utilizing descriptors for the representation of buffer status.

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of descriptors to monitor the available buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer.

28. Regarding claim 19, Chong et al. (USPN 6,212,582) teach a system comprising means for:

- a. Automatically requesting a remote station in the data network to suspend data transmission when the amount of available buffer capacity falls below a first preprogrammed threshold level (column 4, lines 26-30).

Although the system disclosed by Chong et al. (USPN 6,212,582) shows substantial features of the claimed invention, it fails to disclose:

- a. Monitoring the number of receive descriptors pointing to buffers in the computer system available for receiving data from the network.

Art Unit: 2153

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers comprising:

- a. Monitoring the number of receive descriptors pointing to buffers in the computer system available for receiving data from the network.

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of descriptors to monitor the available buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer.

29. Regarding claim 20, Chong et al. (USPN 6,212,582) teach all the limitations as applied to claim 19. They further teach means wherein the automatic flow control mechanism is configured to enable a remote station to resume data transmission when the available buffer capacity rises above a second threshold value (column 4, lines 26-30; column 7, lines 27-30).

Although the system disclosed by Chong et al. (USPN 6,212,582) shows substantial features of the claimed invention, it fails to disclose means for monitoring the number of available descriptors.

Art Unit: 2153

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582), as evidenced by Fox (USPN 6,185,438).

In an analogous art, Fox (USPN 6,185,438) discloses a system for flow control based on the availability of receive buffers utilizing descriptors for the representation of buffer status.

Given the teaching of Fox (USPN 6,185,438), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of descriptors to monitor the available buffers. This allows an accurate picture of the buffer availability to be analyzed by the system processor. This benefits the system by making the changing of threshold and time values central for all buffers instead of requiring that it be done for each individual buffer.

30. Claims 18 and 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chong et al. (USPN 6,212,582) and Fox (USPN 6,185,438) as applied to claim 17 above, and further in view of Ramakrishnan (USPN 6,167,029).

31. Regarding claim 18, although the system disclosed by Chong et al. (USPN 6,212,582) and Fox (USPN 6,185,438) (as applied to claim 17) shows substantial features of the claimed invention, it fails to disclose means wherein the automatic flow control mechanism is configured to enable the remote station to resume data transmission after a preprogrammed time interval, if the number of available descriptors is not less than the preprogrammed threshold value.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582) and Fox (USPN 6,185,438), as evidenced by Ramakrishnan (USPN 6,167,029).

Art Unit: 2153

In an analogous art, Ramakrishnan (USPN 6,167,029) discloses a system for activation of flow control based on the availability of buffers wherein the automatic flow control mechanism is configured to enable the remote station to resume data transmission after a preprogrammed time interval, if the number of available descriptors is not less than the preprogrammed threshold value (column 8, lines 28-33). Note that the PAUSE frame is set for a pre-determined period of time, after which flow resumes regardless of the status of the buffers.

Given the teaching of Ramakrishnan (USPN 6,167,029), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of a timer to determine when transmission from the transmitting node can resume. In a system where processing of incoming data takes place at a constant rate, it is not necessary to have a buffer notify the transmitting node when it is below a certain threshold. In this situation, the transmitting node can wait a pre-determined time and then resume transmission with a high certainty level of finding the buffer in a level below the threshold. The system benefits by not having to spend processor time measuring the lower threshold of the buffer and then sending a message to the transmitting node. This saves processor time and network congestion. The benefit of having both the lower threshold and the time-based resumption of transmission can be used to differentiate between buffers utilized for different purposes. Those in extremely high traffic and critical applications may need to use the former, the lower priority applications may use the time-based method.

32. Regarding claim 21, although the system disclosed by Chong et al. (USPN 6,212,582) and Fox (USPN 6,185,438) (as applied to claim 19) shows substantial features of the claimed invention, it fails to disclose means wherein the automatic flow control mechanism is configured

Art Unit: 2153

to enable the remote station to resume data transmission after a preprogrammed time interval, if the number of available descriptors is not less than the preprogrammed threshold value.

Nonetheless, these features are well known in the art and would have been an obvious modification of the system disclosed by Chong et al. (USPN 6,212,582) and Fox (USPN 6,185,438), as evidenced by Ramakrishnan (USPN 6,167,029).

In an analogous art, Ramakrishnan (USPN 6,167,029) discloses a system for activation of flow control based on the availability of buffers wherein the automatic flow control mechanism is configured to enable the remote station to resume data transmission after a preprogrammed time interval, if the number of available descriptors is not less than the preprogrammed threshold value (column 8, lines 28-33). Note that the PAUSE frame is set for a pre-determined period of time, after which flow resumes regardless of the status of the buffers.

Given the teaching of Ramakrishnan (USPN 6,167,029), a person having ordinary skill in the art would have readily recognized the desirability and advantages of modifying Chong et al. (USPN 6,212,582) by employing the use of a timer to determine when transmission from the transmitting node can resume. In a system where processing of incoming data takes place at a constant rate, it is not necessary to have a buffer notify the transmitting node when it is below a certain threshold. In this situation, the transmitting node can wait a pre-determined time and then resume transmission with a high certainty level of finding the buffer in a level below the threshold. The system benefits by not having to spend processor time measuring the lower threshold of the buffer and then sending a message to the transmitting node. This saves processor time and network congestion. The benefit of having both the lower threshold and the time-based resumption of transmission can be used to differentiate between buffers utilized for

Art Unit: 2153

different purposes. Those in extremely high traffic and critical applications may need to use the former, the lower priority applications may use the time-based method.

*Conclusion*

33. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin Parton whose telephone number is (703)306-0543. The examiner can normally be reached on M-F 8:00AM - 4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenton Burgess can be reached on (703)305-4792. The fax phone numbers for the organization where this application or proceeding is assigned are (703)746-9242 for regular communications and (703)746-7238 for After Final communications.



Art Unit: 2153

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)305-3900.

Kevin Parton  
Examiner  
Art Unit 2153

ksp  
February 26, 2003



**KRISNA LIM**  
**PRIMARY EXAMINER**